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Application No. 09/778,005  
Docket No. 111325/000045

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Mark J. Stefik et al.

) Examiner: Unassigned

Serial No. 09/778,005

) Group Art Unit: 2132

Filed: 02/07/2001

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For: A SYSTEM FOR CONTROLLING THE  
DISTRIBUTION AND USE OF RENDERED  
DIGITAL WORKS THROUGH WATERMARKING

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INFORMATION DISCLOSURE STATEMENT Technology Center 2100

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The documents are being submitted within three (3) months of the filing of this application or entry into the national stage of this application, or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

It is requested that the accompanying information disclosure statement be considered and made of record in the above-captioned application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380.

Respectfully submitted,

By: Marc S. Kaufman, Esq.

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Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

## Complete if Known

Application Number	09/778,005
Filing Date	02/07/2002
First Named Inventor	Mark J. Stefik et al.
Group Art Unit	2132
Examiner Name	Unassigned
Attorney Docket Number	111325/000045

Sheet 1 of 1

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## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		6,253,193		Ginter et al	06/26/2001	
		4,713,753		Beobert et al	12/15/1987	
		5,052,040		Preston et al	09/24/1991	
		5,301,231		Abraham et al	04/05/1994	
		5,502,766		Boebert et al	03/26/1996	
		5,649,013		Stuckey et al	07/15/1997	
		5,737,416		Cooper et al	04/07/1998	
		5,737,413		Akiyama et al	04/07/1998	
		5,757,907		Cooper et al	05/26/1998	
		6,301,660		Benson	10/09/2001	
		6,327,652		England et al	12/04/2001	
		6,330,670		England et al	12/11/2001	

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>1</sup>	Number <sup>1</sup>	Kind Code <sup>2</sup> (if known)				

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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